



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Fichtner et al.**

Serial No.: **09/895,230**

Filed: **June 29, 2001**

**For: Method and System to Integrate  
Existing User and Group Definitions  
in a Database Server with  
Heterogeneous Application Servers**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§ Group Art Unit: **2134**  
§  
§ Examiner: **Jung, David Yiuk**  
§  
§ Attorney Docket No.: **AUS920010374US1**  
§

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By: Louise Fay  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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- Information Disclosure Statement;
- Form PTO-1449;
- Reference AA-AC; and
- Our return postcard.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Fichtner et al.**

Serial No: **09/895,230**

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Title: **Method and System to Integrate  
Existing User and Group Definitions in a  
Database Server with Heterogeneous  
Application Servers**

Group Art Unit: **2134**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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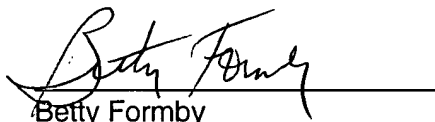
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Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Betty Formby", is written over a horizontal line.

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Substitute for form 1449/PTO

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number **09/895,230**  
Filing Date **06-29-2001**  
First Named Inventor **Fichtner et al.**  
Examiner Name **Jung, David Yiuk**  
Art Unit **2134**  
Attorney Docket No. **AUS920010374US1**

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,134,549	10-17-2000	Regnier et al.	
AB	4,942,552	07-17-1990	Merrill et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AC	HADFIELD et al., "Windows NT Server 4 Security Handbook", Que Corporation, 1997, pp. 83, 146, 169, 213, 356-358.

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